

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

500188.03

April 10, 2001

First Inventor or Application Identifier

Jason B. Elledge

Title

APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE
ASSEMBLIES AND METHODS FOR MAKING AND USING SAME

Express Mail Label No.

EL 647552127 US

(Only for nonprovisional applications under 37 CFR § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Commissioner of Patents
Washington, D.C. 20231

1. ☐ Fee Transmittal Sheet
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant(s) Claim(s) Small Entity Status

3. ☒ Specification [Total Pages] **28**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (35 USC 113) [Total Sheets] **9**

☒ Oath or Declaration [Total Pages] **1**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer-Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)

10. ☐ Assignment Papers (cover sheet & document(s))

11. ☒ 37 CFR 3.73(b) Statement ☒ Power of Atto
(when there is an assignee)

12. ☐ English Translation Document (if applicable)

13. ☒ Preliminary Amendment Total Pages **2**

14. ☒ Return Receipt Postcard

15. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

17. ☒ Other: Certificate of Express Mail
Copy of Revocation and Subst. POA

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: 09/300,358 Filed April 26, 1999

Prior application information: Examiner Willie Berry, Jr. Group / Art Unit 3723

☒ Incorporation By Reference (useable if box 5b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

CORRESPONDENCE ADDRESS

Mark W. Roberts, Esq.
Dorsey & Whitney LLP
1420 Fifth Avenue, Suite 3400
Seattle, Washington 98101-4010
(206) 903-8800 phone
(206) 903-8820 fax

Customer No. 27,076

TYPED or PRINTED NAME Mark W. Roberts, Ph.D.REGISTRATION NO. 46,160SIGNATURE Mark W. RobertsDate April 10, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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 SAME

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

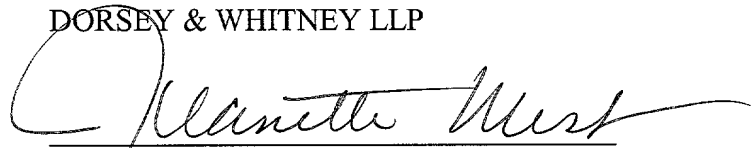
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Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL647552127US, on April 10, 2001, addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY & WHITNEY LLP


 / for West Courier

MWR:sj

Enclosures:

Postcard
 Form PTO/SB/05
 Application Data Sheet
 Preliminary Amendment
 Copy of Specification, Claims, Abstract (28 pages)
 Copy of 9 Sheets of Drawings (Figures 1-9)
 Copy of Declaration
 Copy of Election and Power of Attorney
 Copy of Revocation and Substitute Power of Attorney
 Information Disclosure Statement and Form PTO-1449